

<b>Notice of References Cited</b>			Application/Control No.	Applicant(s)/Patent Under, Reexamination 10/001,900 BLOOM ET AL.	
			Examiner Sara Chandler	Art Unit 3693	Page 1 of 2

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2002/0004759	01-2002	Bradford et al.	705/26
*	B	US-6,035,287	03-2000	Stallaert et al.	705/37
*	C	US-2002/0128947	09-2002	Sauter et al.	705/37
*	D	US-7,133,847	11-2006	Himmelstein, Richard	705/80
*	E	US-7,124,106	10-2006	Stallaert et al.	705/37
*	F	US-7,062,459	06-2006	Herbst et al.	705/37
*	G	US-7,080,050	07-2006	Himmelstein, Richard	705/80
*	H	US-6,947,901	09-2005	McCabe et al.	705/26
*	I	US-6,879,964	04-2005	Sauter et al.	705/37
*	J	US-2002/0184126	12-2002	McIntyre et al.	705/35
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	"Exchange-Traded Funds: An Introduction," by Gary L. Gastineau. Journal of Portfolio Management; Spring 2001; 27, 3; ABI/INFORM Global. Pgs. 88-96.
	V	"WEBS Surpass \$1 Billion" Business Editors. Business Wire. New York: Jan. 11, 1999 (via Proquest, pgs. 1-3).
	W	"Actively-Managed Exchange Traded Funds: Coming Soon to a Market Near You?," by Anthony Ragozino and Charlie J. Gambino. The Investment Lawyer; May 2001; 8,5; ABI/INFORM Global. Pgs 3-9.
	X	"The effect of country-specific index trading on closed-end country funds: an empirical analysis," by Mathew O'Conner and Edward A. Down. Financial Services Review 9 (2000). Pgs. 259-275.

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

<b>Notice of References Cited</b>		Application/Control No.	Applicant(s)/Patent Under Reexamination	
		10/001,900	BLOOM ET AL.	
Examiner		Art Unit		Page 2 of 2
Sara Chandler		3693		

**U.S. PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
A	US-			
B	US-			
C	US-			
D	US-			
E	US-			
F	US-			
G	US-			
H	US-			
I	US-			
J	US-			
K	US-			
L	US-			
M	US-			

**FOREIGN PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
N					
O					
P					
Q					
R					
S					
T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	"Arbitrage and Valuation in the Market for Standard and Poor's Depository Receipts," by Lucy F. Ackert and Yisong S. Tian. Financial Management; Autumn 2000; 29,3; ABI/INFORM Global. Pg. 71.
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.